

FORM PTO-1390  
(REV 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371ATTORNEY'S DOCKET NUMBER  
PC10444US

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

Not Yet Known **10/502491**INTERNATIONAL APPLICATION NO.  
PCT/EP03/00135INTERNATIONAL FILING DATE  
09 January 2003 (09.01.03)PRIORITY DATE CLAIMED  
30 January 2002 (30.01.02)  
04 March 2002 (04.03.02)  
26 June 2002 (26.06.02)TITLE OF INVENTION  
HYDRAULIC UNIT FOR SLIP-CONTROLLED BRAKE SYSTEMSAPPLICANT(S) FOR DO/EO/US  
Albrecht OTTO, Dieter DINKEL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An ☒ executed/☐ unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern documents(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Certificate of Mailing by Express Mail Label No. EV151162212US; Marked up Version of Specification; Clean Version of Specification; PCT International Search Report; German Search Report; 7 references; post card

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Not Yet Known <b>10/502491</b>		INTERNATIONAL APPLICATION NO. PCT/EP03/00135		ATTORNEY DOCKET NUMBER PC10444US	
21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1) – (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO <span style="float: right;">\$1080.00</span>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO <span style="float: right;">\$920.00</span>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;">\$770.00</span>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33 (1)-(4) <span style="float: right;">\$730.00</span>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33 (1)-(4) <span style="float: right;">\$100.00</span>				<b>CALCULATIONS PTO USE ONLY</b>          <div style="border: 1px solid black; height: 150px; margin: 0 auto; width: 100%;"></div>	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$ 920.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	EXTRA NUMBER	RATE		
Total claims	12- 20 =		X \$18.00	\$	
Independent claims	1 - 3 =		X \$86.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 920.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 920.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 960.00	
				Amount to be refunded: \$	
				Charged: \$	
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-0350</u> . A duplicate copy of this sheet is enclosed. d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card          information should not be included on this form.</b> Provide credit card information and authorization on PTO- 2038.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37          CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		23122 (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below	
Send All Correspondence to: <input checked="" type="checkbox"/> RatnerPrestia P.O. Box 980 Valley Forge, PA 19482  OR <input type="checkbox"/> RatnerPrestia Nemours Building 1007 Orange Street Suite 1100 P.O. Box 1596 Wilmington, DE 19899			<div style="text-align: center;">         Signature        Ellen E. Fielitz        Name        54,746        Registration Number        July 16, 2004        Date     </div>		

10/502491

DT15 Rec'd PCT/PTO 1.6 JUL 2004

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Albrecht Otto

Docket No.

PC10444US

Serial No.

Not Yet Known

Filing Date

concurrently herewith

Examiner

Group Art Unit

Invention: HYDRAULIC UNIT FOR SLIP-CONTROLLED BRAKE SYSTEMS

I hereby certify that the following correspondence:

U.S. National Phase Application and its enclosures

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop PCT,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on July 16, 2004

Kathleen Libby

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)EV151162212US

("Express Mail" Mailing Label Number)

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